



# INTRODUCTION TO CERTIFICATION

Peter Bacon  
Community Residence Coordinator  
Health Facilities Administration  
(603) 271-9044  
[Peter.E.Bacon@dhhs.nh.gov](mailto:Peter.E.Bacon@dhhs.nh.gov)

Jay Kurinskas  
Licensing and Evaluation Coordinator  
Health Facilities Administration  
(603) 440-3009  
[Jay.F.Kurinskas@dhhs.nh.gov](mailto:Jay.F.Kurinskas@dhhs.nh.gov)



# Why do we need certification?

- State law (RSA 126-A:20) indicates, in part, that the Commissioner shall adopt rules “to govern the establishment and operation of community living facilities. The certification of community living facilities shall be based on these rules”. It also indicates that “certifications shall be subject to periodic review and renewal by the Commissioner”. (Residential Services)



# Why do we need certification?

- State law (RSA 171-A:18) indicates, in part, that the Commissioner shall designate one Area Agency per region, and that this area agency shall be responsible for providing programs and services, including “day services”. The law goes on to indicate that the Commissioner shall “adopt rules establishing standards for the provision of services”. (Day/CPS Services)



# Why do we need certification?

- The State of NH (BDS) receives Medicaid reimbursement through the Medicaid waiver program (HCBC)(CCW)
- BDS contracts with Area Agencies, who are enrolled Medicaid providers
- Medicaid is a publicly funded program which requires State oversight to “protect the health, safety, and welfare of individuals served”.



# Rules That We Enforce

- **He-M 310**: Rights of Persons Receiving Developmental Services or Acquired Brain Disorder Services in the Community
- **He-M 503**: Eligibility and the Process of Providing Services
- **He-M 506**: Staff Qualifications and Staff Development Requirements for Developmental Service Agencies
- **He-M 507**: Community Participation Services
- **He-M 1001**: Certification Standards for Community Residences
- **He-M 1201**: Healthcare Coordination and Administration of Medications
- **He-P 814**: Community Residences at the Residential Care and Supported Residential Care Level **(only applicable to homes with 4 or more individuals)**



# Types of Certifications

- We certify:
  - 1-3 person homes (with or w/o CPS)
  - 4 or more person homes (with or w/o CPS)
  - Stand alone CPS programs
- The 6 categories of reviews are:
  - Emergency
  - Temporary / Initial
  - Annual / Renewal
  - Biennial (CPS only)
  - Abbreviated
  - Skip



# Emergency Certifications

- For true emergencies only!
- Certificates can be backdated up to 7 days
- No Life Safety Report (LSR) required
- If LSR has been done, you are no longer eligible for an emergency certification, regardless if it passed or failed
- Issued for 45 days
- Option for a one time emergency certification extension
- Can be issued in an already certified home



# Emergency Certification Application

- Application Information Includes:
  - Address
  - Home provider or home manager name
  - Vendor agency and contact person
  - Area agency and contact person
  - Current beds + CPS slots / Requested beds and CPS slots
  - Bottom box requests individual's name and the reason for the emergency
  - Application **must** be signed by the area agency and then sent to our office with a floor plan
  - **Confirm who is actually submitting this application to our office!**





# **Temporary Certifications**

- Complete Application, open same day
- Approved Life Safety Report (LSR) within the past 90 days
- Directions to home or CPS site
- Waiver Application(s)/Approval(s), if applicable
- Temporary Certificate issued for 90 days
- Site review around the 90 day mark

# Certification Application

## Page I

Department of Health and Human Services  
Office of Legal and Regulatory Services  
Health Facilities Administration  
129 Pleasant St. Concord, N.H. 03301  
Phone (603)271-9044 Fax (603)271-4968 TDD Access 1-800-735-2964  
<https://www.dhhs.nh.gov/oos/bhfa/community-residences.htm>

### REQUEST FOR CERTIFICATION OF COMMUNITY RESIDENCE AND/OR COMMUNITY PARTICIPATION SERVICES PROVIDER

<b>Certification Type:</b>	<b>Physical Address of Certified Residence</b>		<b>Certification #</b>	
<input type="checkbox"/> New	<b>Mailing Address of Certified Residence</b>		<b>Requested Start Date if New</b>	
<input type="checkbox"/> Renewal	<b>Current Number of Slots</b>	0 Residential 0 CPS	<b>Expiration Date if Currently Certified</b>	
<input type="checkbox"/> Addition/Removal	<b>Number of Slots Requested</b>	0 Residential 0 CPS		
<input type="checkbox"/> Other	<b>Type of Residence:</b>	<input type="checkbox"/> Staffed Residence <input type="checkbox"/> Family Residence		
<input type="checkbox"/> Residential <input type="checkbox"/> CPS <input type="checkbox"/> Both Residential and CPS				
<i>Please Document Contact Information Below</i>				
<b>Site Visit Contact Person Name</b>				
<b>Site Visit Contact Person Email</b>				
<b>Site Visit Contact Person Phone Number</b>				
<i>Please Document Contact Information Below</i>				
<b>Provider Name</b>				
<b>Provider Phone Number</b>				
<input type="checkbox"/> Yes <input type="checkbox"/> No	<b>Is this home currently licensed?</b>			
If Yes above, please enter the type of license, and the license number in the space provided to the left.				
<input type="checkbox"/> Yes <input type="checkbox"/> No	<b>Is this home currently under emergency certification?</b>			
If Yes above, please enter the emergency certification number in the space provided to the left.				
<b>Community Participation Services (CPS)</b>				
<input type="checkbox"/> Yes <input type="checkbox"/> No	<b>Is any individual at the CPS program for more than one (1) hour per day?</b>			
If Yes above, please enter the date of the Life Safety Code Report in the space provided to the left, and attach the original to this form.				
<input type="checkbox"/> Yes <input type="checkbox"/> No	<b>Is the CPS program located in a currently certified community residence?</b>			
If Yes above, please enter the certification number of the certified residence where the program is located in the space provided to the left.				

# Certification Application

## Page 2

Please attach a separate list if there are more than four (4) people. Please answer "Yes" or "No" in the last two (2) columns

Individual Name	Date of Birth	Served By DS/ABD/BH	Number of hours of supervision as required by the ISA per day or week.	CPS Provider	Behavior Plan? "Yes" or "No"	Self-Administer Medications? "Yes" or "No"					
<b>Vendor Agency</b>											
Vendor Agency											
Vendor Agency Mailing Address											
Vendor Agency Phone Number											
Vendor Agency Contact Name											
Vendor Agency Contact Email											
<b>Area Agency</b>											
Area Agency											
Area Agency Mailing Address											
Area Agency Phone Number											
Area Agency Contact Name											
Area Agency Contact Email											
List all non-family members currently receiving services in the home or CPS program not listed under individual information. Specify Date of Birth and funding source, if any:											
Individual Name	Date of Birth	Funding Source									
<input type="checkbox"/> Yes	<input type="checkbox"/> No	Was a Current Life Safety Code Report Attached? If this is a new Residential Program, a new facility based CPS program, or an addition of a certified bed, the LSC report cannot precede the date of this application by more than 90 days.									
<input type="checkbox"/> Yes	<input type="checkbox"/> No	Are any waivers required? If yes, please attach the most recent approved waiver, or a copy of the request.									
<input type="checkbox"/> Yes	<input type="checkbox"/> No	Has any provider or adult household member, excluding the Individual(s), been convicted of a felony or misdemeanor, or had a substantiated report of abuse, neglect, or exploitation? If Yes, please attach a current waiver. RSA 161-F:49, He-M 507.10(f)-(j), He-M 1001.15(a)(1)-(3) and He-M 1002.14(a)(1)-(3).									
I swear or affirm that the information provided on this application is accurate to the best of my knowledge and belief. I believe that this residence/community participation service program is in full compliance with the statutes and regulations governing these services. I understand that providing false information shall be grounds for denial, suspension or revocation of the certification.											
Please enter the name, title and authorized signature of the Residential or CPS Director above				Please enter the date the application was signed above							

Revised 6/24/2019



# **Certification Application**

- Besides opening a home, this application is also used for the following:
  - Renewal request
  - Add/remove a bed or CPS slot
  - Add/remove an individual from the home or CPS site
  - Changing the level of supervision
  - Changing any contact information (home provider, contact person, phone number, etc.)



# **Life Safety Report**

- Reasons to obtain a new Life Safety Report (LSR):
  - Opening a new home or CPS site
  - Adding a bed or CPS slot, if applicable
  - Changing an individual's bedroom to another room in the home
  - Any change in an egress (door/window)
  - Any time that work is completed at the home or CPS site that required a building permit

# Life Safety Reports

STATE OF NEW HAMPSHIRE  
DEPARTMENT OF HEALTH AND HUMAN SERVICES  
OFFICE OF OPERATIONS SUPPORT  
BUREAU OF HEALTH FACILITIES-LICENSING  
129 Pleasant Street, Brown Building, Concord, NH 03301-3857  
603-271-4592 FAX 603-271-4868 TDD Access: 1-800-735-2364

## LIFE SAFETY REPORT FOR ONE-TO-THREE PERSON PLACEMENT COMMUNITY RESIDENCE

The Department of Health and Human Services, Office of Operations Support, Health Facilities has the responsibility for certifying residences for individuals with a developmental disability, acquired brain disorder, or mental illness. Prior to the initial certification of a home or before an increase in the number of clients is approved the Office of Operations Support requires inspection of the residence by the local fire authority to determine compliance with New Hampshire RSA 229-A:21.

NAME OF RESIDENCE: \_\_\_\_\_  
ADDRESS OF RESIDENCE: \_\_\_\_\_  
CONTACT PERSON: \_\_\_\_\_  
PHONE # OF RESIDENCE: \_\_\_\_\_  
Number of Beds for non-certified residents (noting individuals with Developmental Disabilities or Behavioral Health Issues): \_\_\_\_\_

This City/Town used the following fire code(s) for this inspection as specified in RSA 228-A:21; please check any (or all) options:

- ☐ NFPA 101, One & Two Family Dwelling Occupancy, Edition: \_\_\_\_\_  
☐ NFPA 101, Existing Apartment Buildings, Edition: \_\_\_\_\_  
☐ NFPA 101, New Apartment Buildings, Edition: \_\_\_\_\_

AT A MINIMUM YOU MUST REVIEW THIS OCCUPANCY UNDER THE CURRENTLY ADOPTED EDITION OF THE LIFE SAFETY CODE LISTED IN SAT-C 6000

The above named residence was inspected on \_\_\_\_\_ and on that day found it to be in compliance with the State Fire Codes listed above.

The above named residence was inspected on \_\_\_\_\_ and on that day found it to be non-compliant with the State Fire Codes listed above.

Items, which are non-compliant: (If more space needed, please attach comment sheet)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

I certify that I re-inspected the above named residence on \_\_\_\_\_ and on that day found it to be in compliance with the State Fire Codes listed above.

Signature of Inspector: \_\_\_\_\_ Date: \_\_\_\_\_  
Print Name: \_\_\_\_\_  
Title/Department: \_\_\_\_\_  
Additional Information: \_\_\_\_\_

Additional boards and safety items will be reviewed by DHHS under: (Use M 1031)  
White - Health Facilities Administrator Yellow - Local Fire Authority Pink - Provider

STATE OF NEW HAMPSHIRE  
DEPARTMENT OF HEALTH AND HUMAN SERVICES  
OFFICE OF OPERATIONS SUPPORT  
BUREAU OF HEALTH FACILITIES-LICENSING  
129 Pleasant Street, Brown Building, Concord, NH 03301-3857  
603-271-4592 FAX 603-271-4868 TDD Access: 1-800-735-2364

## LIFE SAFETY REPORT FOR DAY SERVICE PROGRAM

The Department of Health and Human Services, Office of Operations Support, Health Facilities has the responsibility for certifying day programs for individuals with a developmental disability, acquired brain disorder, or mental illness. Prior to the initial certification of a day program, the Office of Operations Support requires inspection of the facility by the local fire authority to determine compliance with state and local fire codes.

NAME OF THE DAY PROGRAM: \_\_\_\_\_  
ADDRESS: \_\_\_\_\_

CONTACT PERSON: \_\_\_\_\_  
PHONE # OF DAY PROGRAM: \_\_\_\_\_

Number of individuals to receive services at the day program: \_\_\_\_\_

This City/Town uses the following fire codes; please check any (or all) options:

- ☐ NFPA 101, Chapter 16 New Day Care Occupancies  
☐ NFPA 101, Chapter 17 Existing Day Care Occupancies  
☐ International Building Code (IBC)  
☐ NFPA 1 \_\_\_\_\_

AT A MINIMUM YOU MUST REVIEW THIS OCCUPANCY UNDER THE CURRENTLY ADOPTED EDITION OF THE LIFE SAFETY CODE, UNDER THE AUTHORITY OF THE STATE OF NH, FIRE MARSHAL (unless local codes are more stringent)

I certify that I inspected the above named Day Program on \_\_\_\_\_ and on that day found it to be in compliance with the State Fire Codes listed above.

I certify that I inspected the above named Day Program on \_\_\_\_\_ and on that day found it to be non-compliant with the State Fire Codes listed above.

Items, which are non-compliant: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

I certify that I re-inspected the above named Day Program on \_\_\_\_\_ and on that day found it to be in compliance with the State Fire Codes listed above.

Signature of Inspector: \_\_\_\_\_ Date: \_\_\_\_\_  
Print Name: \_\_\_\_\_  
Title/Department: \_\_\_\_\_  
Additional Information: \_\_\_\_\_

White-Health Facilities Administrator Yellow-Local Fire Authority Pink-Provider



# Preparing For The Review

- Typically you will have 1-2 months to prepare for all certification reviews. Your preparations should include the following:
  - Review the current Digital Record Submission Policy to see what documents need to be gathered and submitted electronically to the surveyor on or before the agreed upon date.
  - Review the current Certification Tool see what the surveyor will be looking for on the date of the site walkthrough, if applicable.
  - All initial and annual reviews will require a site walkthrough.
  - Abbreviated reviews and skip reviews will typically not require a walkthrough. The specifics of these reviews will be discussed shortly.





# **Abbreviated Reviews**

- An abbreviated review can only be earned if the home had 1-3 deficiencies at their last annual inspection.
- The preparation for an abbreviated review is similar to an annual inspection, as you will need to submit the same documents to the surveyor by the agreed upon date. The only difference is that site visits will not typically be completed as part of an abbreviated review.
- Licensed homes and Stand-alone CPS programs are not currently eligible for abbreviated reviews.





# **Skip Reviews**

- A skip review can only be earned if the home had zero deficiencies at their last annual inspection.
- If a home earns a skip review, the agency will receive an email approximately 45 days before the certificate expires, which will include a cover letter, along with several documents referred to in the cover letter, explaining what needs to be submitted and by what date.
- An onsite inspection is not typically part of a skip review.
- Licensed homes and Stand-alone CPS programs are not currently eligible for skip reviews.



## **Biennial Reviews**

- Although biennial reviews (2 years) are no longer an option in homes certified under He-M 1001, they are currently still an option for certain stand-alone CPS programs.
- To earn a biennial inspection, the CPS program must:
  - Must have 50 or fewer certified CPS slots
  - Must have two or fewer deficiencies at their last annual inspection



# Residential Information Packet

- This document is completed as part of **all** residential certification inspections (initial, annual, abbreviated, and skip).
- The following information is included in this packet:
  - Individual medication information
  - Staff and home provider information regarding background checks, insurances, etc.
  - Staff and home provider training dates
  - Residence information in regard to smoke detectors, furnace inspections, well water tests, etc.
  - Fire evacuation drills going back to when the home opened (for initial reviews) or back to the last certification inspection for annual, abbreviated, and skip inspections.



# CPS Information Packet

- This document is completed as part of **all** CPS certification inspections (initial, annual, and biennial).
- The following information is included in this packet:
  - Individual medication information
  - Staff and home provider information regarding background checks, insurances, etc.
  - Staff and home provider training dates
  - Fire drill information should also be attached to this packet if the CPS site has an approved life safety report and uses the site for activities.



# **Certification Review Day**

- Please ensure that the requested documents have been submitted to the surveyor by the agreed upon day/time.
- If a walkthrough is being completed as part of the review, the surveyor will need to see the individual's bedroom(s), the basement, the attic, and other common areas of the home.
- The smoke detectors will be tested during the majority of walkthroughs
- The surveyor will verify the count for any controlled medications.
- The surveyor may request to review the medication orders for the individual(s).
- The onsite portion of the review typically takes between 15 and 30 minutes.



# **Residential Document** **Submission**

- Specific to Initial, Annual, and Abbreviated reviews, documents include:
  - Residential Information Packet
  - Background Checks
  - 5-day visits
  - Fire Safety Assessments and fire drills
  - Emergency face sheet
  - Monthly Progress Notes
  - Safety Assessment and plan, if applicable (for unsupervised time)
  - HRC behavior plan approval(s)
  - Annual Health Assessments
  - Annual diet orders, if applicable
  - HRST Monthly Data Tracker
  - Self-administration assessments
  - 3 months of controlled medication logs/counts
  - The list of documents will be increasing in the upcoming months, with advance notice and updated forms sent out at that point.



# **CPS Document Submission**

- Specific to Initial, Annual, and Biennial reviews, documents include:
  - CPS Information Packet
  - Background Checks
  - Fire drills for past year, if applicable
  - For each individual chosen, please submit the following:
    - Current schedule
    - Progress Notes
    - Health Assessments
  - The list of documents will be increasing in the upcoming months, with advance notice and updated forms sent out at that point.



# Responding To Deficiencies and Concerns

- All Plans of Correction (POC) are due within 21 days of receipt of results
- The Plan of Correction (POC) must include:
  - How the program corrected or intends to correct the issue(s)
  - The date by which it will be corrected
  - How the program intends to prevent this issue from being repeated
  - Please submit the ENTIRE Plan of Correction when it is complete. Please do **NOT** send it in piecemeal!





## **Future Reviews**

- After your initial certification review, you will receive another review at the end of your first year. For example, if the home or CPS site comes on line on 3/30/2022, our initial visit will happen around late June or early July of this year, and your first annual certification inspection will be scheduled around late February, as your certificate expiration date will be 2/28/2023.
- The certificate for this home will expire on the last day of February going forward



# Future Reviews

- Once you have completed your first two full reviews, future reviews will happen as follows:
  - 1-3 person homes, with 3 or fewer deficiencies, are eligible for an abbreviated review without a site visit.
  - 1-3 person homes, with 0 deficiencies are eligible for the “skip” certification process.
  - CPS Programs with less than 50 individuals that receive 2 deficiencies or less are eligible for a biennial review. This requires the agency to complete an internal certification review after 1 year of all the individuals in the program, and to maintain that documentation until the next certification review by our office.
  - All other programs are reviewed annually, regardless of performance.



## **Renewal / Annual Certification**

- You must submit a renewal application at least 60 days prior to your expiration date (120 days for your licensure application, if applicable).
- You will always have two reviews during your first year of operation. Again, future reviews will depend on the size of the home or CPS site, plus the review results.



# **Review of Licensed Homes**

- Review by HFA staff is similar to any other residential inspection, although there are some additional requirements
- A Life Safety Code inspector will conduct random unannounced inspections of licensed homes
- Failure to comply could potentially lead to the oversight agency being fined or having their license revoked.

# Additional Tool for Licensed Homes

He-P 814 Requirements				
<input type="checkbox"/> Yes	<input type="checkbox"/> No		In accordance with RSA 151:20, does the licensee have a written policy setting forth the rights and responsibilities of individuals receiving services at the CR, as well as written procedures to implement its policy to ensure that rights set forth in RSA 151:21, "Patients' Bill of Rights" are upheld <b>He-P 814.15(b)</b>	
<input type="checkbox"/> Yes	<input type="checkbox"/> No		Does the licensee have, in writing, a written chain of command that sets forth the line of authority for the operation of the CR? <b>He-P 815.15(3)</b>	
<input type="checkbox"/> Yes	<input type="checkbox"/> No		Has Licensee admitted anyone with a diagnosis of dementia, Alzheimer's disease, or a primary or secondary diagnosis of mental illness? If Yes, have all direct care personnel been trained in the special care needs of individuals with dementia, Alzheimer's disease or mental illness? <b>He-P 814.15 (a) (1)</b>	
<input type="checkbox"/> Yes	<input type="checkbox"/> No		Are the following posted in a public area? 1. Current license 2. Patients' bill of rights 3. Licensee's policies and procedures 4. Licensee's complaint procedure 5. Licensee's plan for fire safety, evacuation and emergencies. <b>He-M 814.15(p)(1) through (p)(6)</b>	
<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> N/A	Are solid waste, garbage & trash stored in a manner to make them inaccessible to insects & rodents, outdoor animals & facility pets? <b>He-P 814.21 (i)</b>	
<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> N/A	Are tight fitting screens provided for all doors, windows, or other outside openings that are kept open during the season when flies, mosquitoes and other insects are prevalent? <b>He-P 814.21 (r)</b>	
<input type="checkbox"/> Yes	<input type="checkbox"/> No		Are all bathrooms equipped with soap dispenser, paper towels or hand drying device, and hot and cold running water? <b>He-P 814.22 (q)</b>	
<input type="checkbox"/> Yes	<input type="checkbox"/> No		Are all bathroom and closet doors designed for easy opening from the inside and outside in an emergency? <b>He-P 814.22 (s)</b>	

<input type="checkbox"/> Yes	<input type="checkbox"/> No		Does each Individual have the following: (1) A bed appropriate to the needs of the individual; (2) A firm mattress with cover; (3) A pillow, linens, and blankets; (4) Personal hygiene and grooming equipment such as a comb, toothbrush, and razor; (5) A bureau with mirror (6) A bedside table, (7) A lamp; and (8) An upholstered chair <b>He-P 814.22 (w)(1-8)</b>	
------------------------------	-----------------------------	--	---	--



# Waivers

- There are two categories of waivers, dependent upon the rule(s) you are requesting to waiver, as follows:
  - **BDS** waivers are specific to the He-M rules, such as 1001, 507, and 1201). These waiver requests are submitted to BDS for review and approval.
  - **HFA** waivers are specific to the He-P rules, which in our unit is He-P 814. This rule only applies to those homes that are licensed and have 4 or more individuals living there.
- Both BDS and HFA have their own waiver request form.

# Waivers Request Applications

NH BUREAU OF DEVELOPMENTAL SERVICES WAIVER REQUEST		
<b>Submit completed requests to:</b> Bureau of Developmental Services 105 Pleasant St. - Main Bldg, Concord, NH 03301 Phone#: (603) 271-5034 Fax#: (603) 271-5166 email: bds@dhhs.nh.gov		
*Criminal record checks, if applicable, <u>must be current within one year of waiver request.</u>		
*Waivers are to be submitted by the Area Agency <b>ONLY</b>		
*Only complete packets will be processed		
Area Agency: <u>Please choose from list</u>		
Indicate: <input type="checkbox"/> - Initial <input type="checkbox"/> - Renewal	If Renewal Indicate Waiver Number: <input type="text"/> Expiration Date: <input type="text"/>	
Provider Agency (if applicable): <input type="text"/>	Consumer Name (if applicable): <input type="text"/>	Staff Name (if applicable): <input type="text"/>
Waiver for: <input type="checkbox"/> - Residence <input type="checkbox"/> - Day Service	Provide name and address (as it appears on the certificate): <input type="text"/> Residence or Day Service Certificate #: <input type="text"/> Expiration Date: <input type="text"/>	
Indicate specific standard from which you request a waiver: <b>He-M</b> <input type="text"/> Quote the specific language you seek to waive: <input type="text"/>		
Provide a full explanation of why a waiver to this standard is sought: <input type="text"/>		
Describe proposed alternative to satisfy regulatory intent: <input type="text"/>		
Individual signature (if applicable): <input type="text"/>		
Guardian signature (if applicable): <input type="text"/> Approval Date: <input type="text"/>		
Signature of AA Executive Director / Designee: <input type="text"/> Date: <input type="text"/>		
Requested number of years for waiver to be effective (check one): <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> Permanent		

Revised: July 2019

OFFICE OF LEGAL AND REGULATORY SERVICES BUREAU OF LICENSING AND CERTIFICATION HEALTH FACILITIES ADMINISTRATION-LICENSING	
<b>Submit completed requests to:</b> Health Facilities Administration- Licensing 129 Pleasant Street Concord, NH 03301	<b>NOTE:</b> Unless otherwise specified, waivers must be renewed annually. Send Waiver renewal requests when submitting renewal license application. Submit one waiver per request Submit form either encrypted electronically to <a href="mailto:DHHS.hfaregcorrespondence@nh.gov">DHHS.hfaregcorrespondence@nh.gov</a> or via fax to 603-271-4968
Date Requested: <input type="text"/>	Indicate: Initial <input type="checkbox"/> Renewal <input type="checkbox"/>
Applicant Name: <input type="text"/> Address: <input type="text"/> Phone #: <input type="text"/> Email: <input type="text"/> License #: <input type="text"/> Expiration Date: <input type="text"/>	
Indicate specific standard from which you request a waiver: <b>He-P</b> Quote the specific language you seek to waive: <input type="text"/>	
Provide a full explanation of why a waiver to this standard is sought: <input type="text"/>	
Describe proposed alternative to satisfy regulatory intent: <input type="text"/>	
If this waiver is the result of a criminal background check, please identify the applicant you are requesting a waiver for, attach a letter from the applicant explaining the conviction(s) and attach the NH DOS Criminal History Report.	
Administrator Signature: <input type="text"/>	Date: <input type="text"/>
Recommendation of HFA-L Licensing Supervisor: Approved Y <input type="checkbox"/> N <input type="checkbox"/>	
Licensing Supervisor Signature: <input type="text"/>	Date: <input type="text"/>
Request Submitted by: <input type="text"/>	Phone: <input type="text"/> Email Address: <input type="text"/>

Revised August 27, 2020



# Certification Assessment Survey

## CERTIFICATION ASSESSMENT SURVEY

The staff at the Office of Legal and Regulatory Services, the Bureau of Licensing and Certification invite you to participate in an **optional** assessment of today's Certification Review, and the service delivery system as a whole. If you choose to participate, you may acknowledge who you are or **you may choose to remain anonymous** by omitting any identifying information requested on this form. We thank you in advance for your willingness to help us assess the strengths and weaknesses of the state certification process, and the service delivery system as a whole.

Did you receive ample notice in the scheduling of this review? Who notified you and how?

Do you feel the review was appropriate in terms of time spent at the residence/program?

Was the reviewer courteous, professional and able to provide answers to any questions that were asked?

Are you satisfied with the services the individual(s) receive from the area agency / vendor agency?

Do you feel that there is adequate monitoring of this home/program, excluding the certification review?

Do you feel supported by your area agency or vendor?

Does the individual have any outstanding needs that are not being addressed?

Other comments or suggestions?

Review Date: \_\_\_\_\_ Residence/Program: \_\_\_\_\_ Surveyor: \_\_\_\_\_

Signature: \_\_\_\_\_

Peter E. Bacon  
Office of Operation Support  
BHH/ Community Residence  
129 Pleasant Street  
Concord, NH 03301-3857

*The Department of Health and Human Services' Mission is to join communities and families in providing opportunities for citizens to achieve health and independence.*



# Closure Letter

## PROGRAM CLOSURE NOTICE

Dear \_\_\_\_\_:

Please be advised that the \_\_\_\_\_, Certificate #: \_\_\_\_\_  
(Name of Residence or Day Service)

located at: \_\_\_\_\_  
(Address)

has been closed effective: \_\_\_\_\_:  
(Date)

1. Individual(s) moved to (name of Community Residence and Certificate #):

2. Reason for Closure:

3. Are there any other individuals living in the home? YES NO

4. A copy of this form has been sent to the \_\_\_\_\_ Fire Department on \_\_\_\_\_.  
(Town/City) (Date)

\_\_\_\_\_  
(Print Name)

\_\_\_\_\_  
(Phone #)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)

White Copy---- Return to the Office of Operations  
Support with copy of Current Certificate

Yellow Copy----Send to Local  
Fire Department

Pink Copy----- Vendor Agency Copy

**Revised/Reviewed 12/23/13**

\_\_\_\_\_  
*The Department of Health and Human Services' Mission is to join communities and families  
in providing opportunities for citizens to achieve health and independence.*



## **Related Information**

- **Unannounced Inspections**
- **Scheduling/Canceling Inspections**
- **Appeals / Informal Dispute Resolutions**



# Questions?